

**L3HARRIS TECHNOLOGIES, INC.**  
**PROCEDURES FOR REPORTING AND RESPONDING TO**  
**COMPLAINTS OR CONCERNS ABOUT**





- Deficiencies in or non-compliance with L3Harris’ internal accounting controls, including attempted or actual circumvention of internal controls;
- Misrepresentation or false statement to or by a senior officer or accountant regarding a matter contained in the financial records, financial reports, or audit reports of L3Harris;
- Deviation from full and fair reporting of L3Harris’ financial condition, results of operations, or financial statements; or
- Violations of laws, rules or regulations relating to securities (including the Foreign Corrupt Practices Act and the Sarbanes-Oxley Act) or to fraud against shareholders or mail, wire or bank fraud.

### **III. TREATMENT OF REPORTED COMPLAINTS AND CONCERNS.**

- The Audit Committee has designated the Vice President of Internal Audit and the Senior Director, Ethics and Compliance as authorized recipients to assist the Audit Committee in assessing and dispositioning reported complaints and concerns regarding Potential Covered Matters. All recipients of such complaints or concerns will immediately forward a copy of any mail or e-mail, or a transcript of a voicemail or summary of a call or oral complaint relating to Potential Covered Matters to the Vice President of Internal Audit and the Senior Director, Ethics and Compliance.

- Upon receipt of a reported complaint or concern, the Vice President of Internal Audit (in consultation with the General Counsel, the Senior Director, Ethics and Compliance, the Secretary of the Audit Committee, or others as appropriate) will: (1) promptly determine whether the reported complaint or concern relates to a Potential Covered Matter and, if so, whether the Potential Covered Matter: (i) involves a member of the Board of Directors of L3Harris or an executive officer of L3Harris; (ii) involves or is reasonably likely to involve a sum in excess of \$500,000; (iii) has been reported to the U.S. Securities and Exchange Commission, the Public Company Accounting Oversight Board or any other proper governmental or regulatory authority with jurisdiction applicable to Potential Covered Matters; or (iv) in the judgment of the Vice President of Internal Audit, otherwise is potentially material or should be reported promptly to the Chairperson of the Audit Committee (Potential Covered Matters falling within the types described in clauses (i), (ii), (iii), or (iv) are referred to herein as “Direct Report Potential Covered Matters”); and (2) unless the complaint or concern has been submitted anonymously, acknowledge receipt to the sender

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- Files related to such complaints and concerns will be securely maintained by the Vice President of Internal Audit